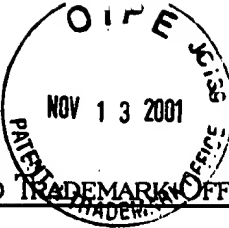




UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov



APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/939,730	08/28/2001	Katsutoshi Tajiri	31762-174923

CONFIRMATION NO. 2698

FORMALITIES LETTER



OC000000006810840

VENABLE
P.O. Box 34384
Washington, DC 20043-9998

Date Mailed: 09/28/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

D. Washburn
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2001 AWONDAF1 00000003 09939730

01 FC:101
02 FC:105

740.00 OP
130.00 OP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

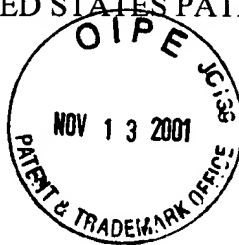
Katsutoshi TAJIRI, et al.

Appl. No. 09/939,730

Confirmation No. 2698

Filed: August 28, 2001

For: COMMUNICATION
CONNECTING DEVICE
CAPABLE OF RELIABLY
TRANSMITTING DATA TO AN
IP NETWORK AND A DATA
TRANSMISSION CONTROL
METHOD



Art Unit: 2661

Examiner: Not Yet Assigned

Atty. Docket No. 31762-174923

Customer No.



26694

PATENT TRADEMARK OFFICE

Response To Notice To File Missing Parts

Assistant Commissioner for Patents
Washington, D.C. 20231
BOX MISSING PARTS

Sir:

In response to the Notice to File Missing Parts dated September 28, 2001, submitted herewith are the following:

- Inventor Declaration
- Copy of September 28, 2001, Notification of Missing Parts
- Assignment with Certified Cover Letter

Official fees:

Basic Filing Fee	\$ 740.00
Assignment Recording Fee	\$ 40.00
Surcharge for late submission of Declaration	\$ 130.00

Total fees enclosed: \$ 910.00

Applicant(s): Katsutoshi TAJIRI, et al.
Appl. No.: 09/939,730

Should no remittance be attached, or should any greater or lesser fee be required, please charge or credit our Account No. 22-0261 and advise us accordingly.

Date: November 13, 2001

Respectfully submitted,



Michael A. Sartori, Ph.D.

Registration No. 41,289

VENABLE

P.O. Box 34385

Washington, D.C. 20043-9998

Telephone: (202) 962-4800

Telefax: (202) 962-8300

DC2DOCS/330916